

Appendix D. Facsimile of SIPP 1984 Wave Four Questions

Section 4 – TOPICAL MODULES (Continued)	
Part B – Retirement and Pension Coverage	
Statement B → Read to respondent: These next questions concern retirement and pension coverage.	
CHECK ITEM T3	<p>Refer to CC item 24. Is ...'s age?</p> <p>8312 1 <input type="checkbox"/> Less than 25 years of age – <i>SKIP to Check Item T13, page 59</i> 2 <input type="checkbox"/> 25 to 39 years of age – <i>SKIP to Check Item T6</i> 3 <input type="checkbox"/> 40 to 65 years of age 4 <input type="checkbox"/> 66 years of age or older – <i>SKIP to Check Item T6</i></p>
CHECK ITEM T4	<p>Is "Worked" marked on the ISS?</p> <p>8314 1 <input type="checkbox"/> Yes – <i>SKIP to 1a</i> 2 <input type="checkbox"/> No</p>
CHECK ITEM T5	<p>Did ... spend any time looking for work or on layoff from a job? (Is the "Yes" box marked in item 2a on page 2?)</p> <p>8316 1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No – <i>SKIP to 6a, page 56</i></p>
1 a. At what age does ... EXPECT to stop working at a regular job?	<p>8318 <input type="text"/> <input type="text"/> Age</p> <p>1 <input type="checkbox"/> Never worked – <i>SKIP to Check Item T13, page 59</i> 2 <input type="checkbox"/> Already stopped – <i>SKIP to Check Item T6</i> 3 <input type="checkbox"/> Doesn't plan to stop – <i>SKIP to 1c</i> x1 <input type="checkbox"/> DK</p>
b. Will ... be eligible on the basis of ...'s own work experience to receive Social Security (Railroad Retirement) Benefits when ... stops working?	<p>8320 1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No x1 <input type="checkbox"/> DK</p>
c. How many years has ... been employed in jobs covered by Social Security (Railroad Retirement)?	<p>8322 <input type="text"/> <input type="text"/> Years</p> <p>1 <input type="checkbox"/> Less than one year x3 <input type="checkbox"/> None x1 <input type="checkbox"/> DK</p>
CHECK ITEM T6	<p>Are any employers entered in question 2a on page 14 or question 10a on page 16?</p> <p>8324 1 <input type="checkbox"/> Yes – <i>Enter name(s) and job number(s) below</i> 2 <input type="checkbox"/> No – <i>Skip to Check Item T9, page 56</i></p>

(Ask 2a-4l for employer 1 first then return to question 2a if there is another employer.)

Employer 1

Employer 2

Employer name

Employer name

Job number

Job number

8326

8328

2a. About how many persons are employed by (Read employer's name) at the location where ... works - would you say (Read categories)?

8330

- 1 Under 25
 - 2 25 to 99
 - 3 100 to 499
 - 4 500 to 999
 - 5 1000 or more
 - x1 DK
- } SKIP to 3a

8332

- 1 Under 25
 - 2 25 to 99
 - 3 100 to 499
 - 4 500 to 999
 - 5 1000 or more
 - x1 DK
- } SKIP to 3a

b. Does (Read employer's name) operate in more than one location?

8334

- 1 Yes
 - 2 No
 - x1 DK
- } SKIP to 3a

8336

- 1 Yes
 - 2 No
 - x1 DK
- } SKIP to 3a

c. About how many persons are employed by (Read employer's name) at all locations - would you say (Read categories)?

8338

- 1 Under 25
- 2 25 to 99
- 3 100 to 499
- 4 500 to 999
- 5 1000 or more
- x1 DK

8340

- 1 Under 25
- 2 25 to 99
- 3 100 to 499
- 4 500 to 999
- 5 1000 or more
- x1 DK

3a. Does ...'s employer or union have a retirement plan for any of its employees?

8342

- 1 Yes
 - 2 No
 - x1 DK
- } SKIP to Check Item T7

8344

- 1 Yes
 - 2 No
 - x1 DK
- } SKIP to Check Item T9, page 56

(Exclude Social Security and Railroad Retirement.)

b. Is ... included in such a plan?

8346

- 1 Yes - SKIP to 4a
- 2 No
- x1 DK - SKIP to Check Item T7

8348

- 1 Yes - SKIP to 4a
- 2 No
- x1 DK - SKIP to Check Item T9, page 56

c. Why isn't ... included in such a plan?

Mark (X) all that apply.

8350

1 Chose not to belong

8352

1 Chose not to belong

8354

2 No one in ...'s type of job can belong

8356

2 No one in ...'s type of job can belong

8358

3 ... does not work enough hours, weeks, or months per year

8360

3 ... does not work enough hours, weeks, or months per year

8362

4 ... started this job too close to ...'s retirement date

8364

4 ... started this job too close to ...'s retirement date

8366

5 ... is too young

8368

5 ... is too young

8370

6 ... has not worked for this employer long enough

8372

6 ... has not worked for this employer long enough

8374

7 Other - Specify

8376

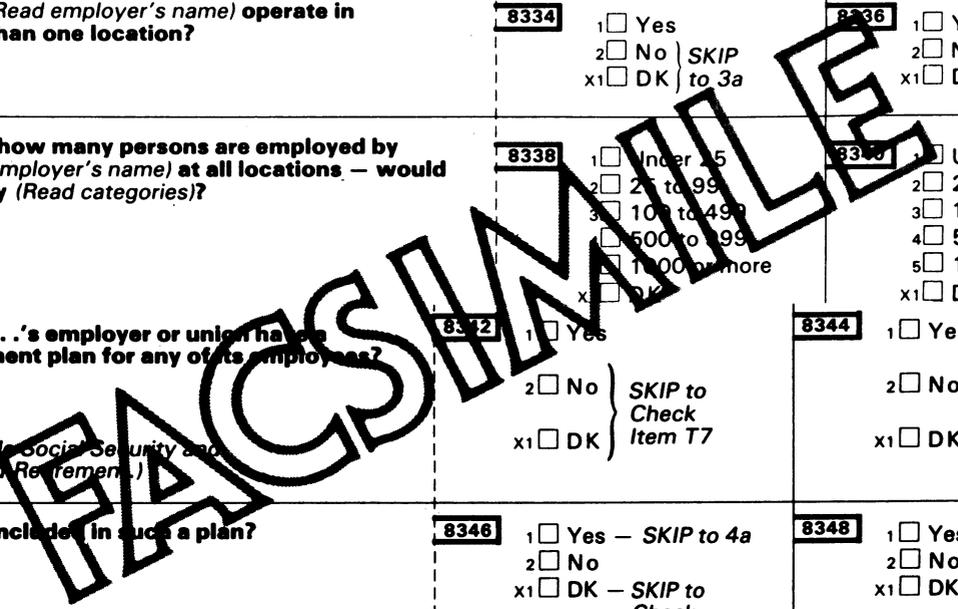
7 Other - Specify

8378

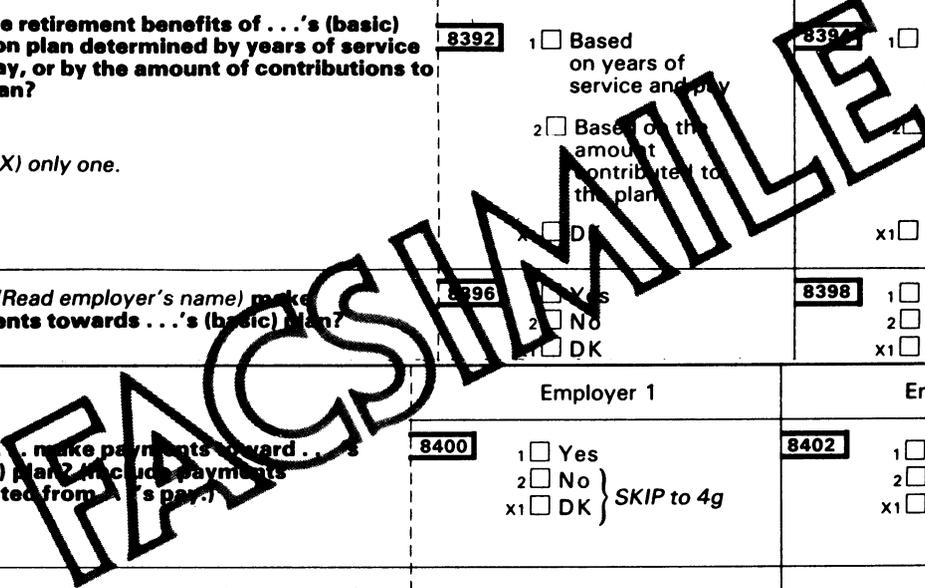
x1 DK

8380

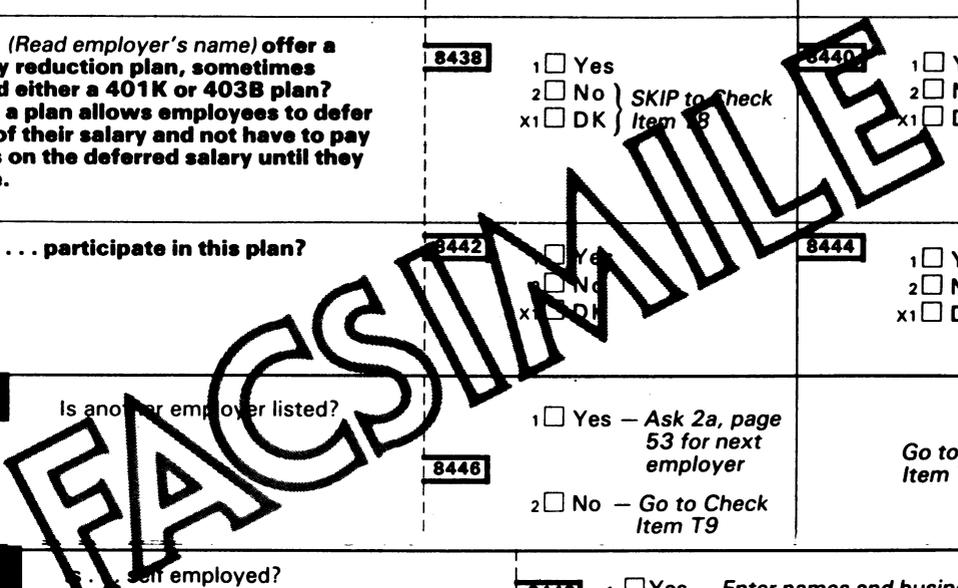
x1 DK



CHECK ITEM T7	Is another employer listed?	8382 1 <input type="checkbox"/> Yes — Ask 2a, page 53 for next employer 2 <input type="checkbox"/> No — SKIP to Check Item T9, page 56	SKIP to Check Item T9, page 56
4a. Is ... included in more than one retirement or pension plan on this job?		8384 1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No x1 <input type="checkbox"/> DK	8386 1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No x1 <input type="checkbox"/> DK
b. Is ...'s (basic) retirement plan a profit sharing plan?		8388 1 <input type="checkbox"/> Yes — SKIP to 4d 2 <input type="checkbox"/> No x1 <input type="checkbox"/> DK	8390 1 <input type="checkbox"/> Yes — SKIP to 4d 2 <input type="checkbox"/> No x1 <input type="checkbox"/> DK
c. Are the retirement benefits of ...'s (basic) pension plan determined by years of service and pay, or by the amount of contributions to the plan?		8392 1 <input type="checkbox"/> Based on years of service and pay 2 <input type="checkbox"/> Based on the amount contributed to the plan x1 <input type="checkbox"/> DK	8394 1 <input type="checkbox"/> Based on years of service and pay 2 <input type="checkbox"/> Based on the amount contributed to the plan x1 <input type="checkbox"/> DK
Mark (X) only one.			
d. Does (Read employer's name) make payments towards ...'s (basic) plan?		8396 1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No x1 <input type="checkbox"/> DK	8398 1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No x1 <input type="checkbox"/> DK
		Employer 1	Employer 2
4e. Does ... make payments toward ...'s (basic) plan? (Include payments deducted from ...'s pay.)		8400 1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No x1 <input type="checkbox"/> DK } SKIP to 4g	8402 1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No x1 <input type="checkbox"/> DK } SKIP to 4g
f. How much does ... contribute toward ...'s (basic) plan?		8404 \$ <input type="text"/> . <input type="text"/> 00	8406 \$ <input type="text"/> . <input type="text"/> 00
		8408 PER — 1 <input type="checkbox"/> Week 2 <input type="checkbox"/> Biweekly 3 <input type="checkbox"/> Month 4 <input type="checkbox"/> Quarter 5 <input type="checkbox"/> Year	8410 PER — 1 <input type="checkbox"/> Week 2 <input type="checkbox"/> Biweekly 3 <input type="checkbox"/> Month 4 <input type="checkbox"/> Quarter 5 <input type="checkbox"/> Year
		OR 8412 <input type="text"/> <input type="text"/> . <input type="text"/>	OR 8414 <input type="text"/> <input type="text"/> . <input type="text"/>
		Percent of salary OR 8416 x1 <input type="checkbox"/> DK x2 <input type="checkbox"/> Ref.	Percent of salary OR 8418 x1 <input type="checkbox"/> DK x2 <input type="checkbox"/> Ref.
g. How long has ... been included in this (basic) plan? (Include only the years that count toward ...'s retirement benefits.)		8420 <input type="text"/> <input type="text"/> Years 1 <input type="checkbox"/> Less than 1 year x1 <input type="checkbox"/> DK	8422 <input type="text"/> <input type="text"/> Years 1 <input type="checkbox"/> Less than 1 year x1 <input type="checkbox"/> DK
(If respondent reports years and months, round to full years)			



<p>h. If . . . were to leave (Read employer's name) now or in the next few months, could . . . eventually receive some benefits from this plan upon reaching retirement age?</p>	<p>8424 1 <input type="checkbox"/> Yes — SKIP to 4j 2 <input type="checkbox"/> No x1 <input type="checkbox"/> DK</p>	<p>8426 1 <input type="checkbox"/> Yes — SKIP to 4j 2 <input type="checkbox"/> No x1 <input type="checkbox"/> DK</p>																
<p>i. Is that because . . . has not been included in the plan enough years?</p>	<p>8428 1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No x1 <input type="checkbox"/> DK</p>	<p>8430 1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No x1 <input type="checkbox"/> DK</p>																
<p>j. Under this plan, could . . . 's retirement benefits from this plan be received in a lump-sum payment? (Do not include lump-sum payments which are entirely refunds of . . . 's contributions to the plan.)</p>	<p>8432 1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No x1 <input type="checkbox"/> DK</p>	<p>8436 1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No x1 <input type="checkbox"/> DK</p>																
<p>k. Does (Read employer's name) offer a salary reduction plan, sometimes called either a 401K or 403B plan? Such a plan allows employees to defer part of their salary and not have to pay taxes on the deferred salary until they retire.</p>	<p>8438 1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No } SKIP to Check Item T8 x1 <input type="checkbox"/> DK }</p>	<p>8440 1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No } SKIP to Check Item T9 x1 <input type="checkbox"/> DK }</p>																
<p>l. Does . . . participate in this plan?</p>	<p>8442 1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No x1 <input type="checkbox"/> DK</p>	<p>8444 1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No x1 <input type="checkbox"/> DK</p>																
<p>CHECK ITEM T8 Is another employer listed?</p>	<p>8446 1 <input type="checkbox"/> Yes — Ask 2a, page 53 for next employer 2 <input type="checkbox"/> No — Go to Check Item T9</p>	<p>Go to Check Item T9</p>																
<p>CHECK ITEM T9 . . . self employed? (Are any businesses entered in question 1a on page 18 or question 12 a on page 21?)</p>	<p>8448 1 <input type="checkbox"/> Yes — Enter names and business I.D. numbers below 2 <input type="checkbox"/> No — SKIP to Check Item T10</p>																	
<p>Ask 5 for each business owned.</p>	<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%;">Name of first business</td> <td style="width:50%;">Name of second business</td> </tr> <tr> <td> </td> <td> </td> </tr> <tr> <td> </td> <td> </td> </tr> <tr> <td> </td> <td> </td> </tr> <tr> <td>Business I.D. Number</td> <td>Business I.D. Number</td> </tr> <tr> <td>8450 <input type="text"/></td> <td>8452 <input type="text"/></td> </tr> </table>	Name of first business	Name of second business							Business I.D. Number	Business I.D. Number	8450 <input type="text"/>	8452 <input type="text"/>	<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%;">Business I.D. Number</td> <td style="width:50%;">Business I.D. Number</td> </tr> <tr> <td>8454 <input type="text"/></td> <td>8456 <input type="text"/></td> </tr> </table>	Business I.D. Number	Business I.D. Number	8454 <input type="text"/>	8456 <input type="text"/>
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Business I.D. Number	Business I.D. Number																	
8454 <input type="text"/>	8456 <input type="text"/>																	
<p>5. Not counting Social Security, IRA, or KEOGH accounts, is . . . covered by a pension or retirement plan in (Read name of business)?</p>	<p>8454 1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No x1 <input type="checkbox"/> DK</p>	<p>8456 1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No x1 <input type="checkbox"/> DK</p>																
<p>CHECK ITEM T10 Refer to CC item 24. Is . . . 40 to 64 years of age?</p>	<p>8458 1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No — SKIP to Check Item T12</p>																	
<p>6a. (Other than the plans we have already talked about) did . . . hold a job in the past from which . . . eventually expects to receive retirement benefits? (Exclude Social Security, Railroad Retirement, and other plans already reported.)</p>	<p>8460 1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No x1 <input type="checkbox"/> DK } SKIP to Check Item T11 x2 <input type="checkbox"/> Ref. }</p>																	



b. Is this pension plan from —

(Read categories)

Mark (X) all that apply.

8462
8464
8466
8468
8470
8472

- 1 A private employer?
- 2 Military?
- 3 Federal Government (civilian)?
- 4 State or local governments?
- 5 A union?
- 6 Other — Specify

C. How many years (altogether) did . . . work on (that job/those jobs)?

8474

Years
x1 DK

CHECK ITEM T11

Refer to question 1a, page 53.
At what age does . . . expect to stop working at a regular job?
Which box is marked in 1a, page 53?

8476

- 1 No entry marked in 1a
- 2 "Already stopped" marked in 1a
- 3 "Doesn't plan to stop" marked in 1a
- 4 "Age" given in 1a
- 5 "DK" marked in 1a

} SKIP to Check Item T12

} Ask 7

7. Considering all the retirement plans you have mentioned, including plans with current or past employers and Social Security, how much does . . . EXPECT to receive per year from these plans when . . . retires?

8478

\$. per year

- x3 None
- x1 DK
- x2 Ref.

CHECK ITEM T12

Are codes 30, 31, 32, 33, 34, or 35 marked on the ISS?

8480

- Yes
- No — SKIP to Check Item T13, page 59

Earlier you said . . . received some retirement income other than Social Security.

8a. Did . . . receive these benefits because . . . retired from a job or business or for some other reason?

8482

- Retired from job
- 2 Some other reason
- x1 DK
- x2 Ref.

} SKIP to Check Item T13, page 59

The next few questions refer to the job in the past from which . . . received the retirement income.

(If . . . received a pension from more than 1 source ask about source of largest retirement income.)

PGM8

8484

b. What kind of business or industry was . . . 's employer?

For example: TV and radio manufacturing, retail shoe store, State Labor Department, farm.

PGM8

ASK OR VERIFY —
8c. Was it mainly —

8486

- 1 Manufacturing?
- 2 Wholesale trade?
- 3 Retail trade?
- 4 Some other kind of business?

d. What kind of work was . . . doing on that job?

For example: Electrical engineer, stock clerk, typist, farmer.

PGM8

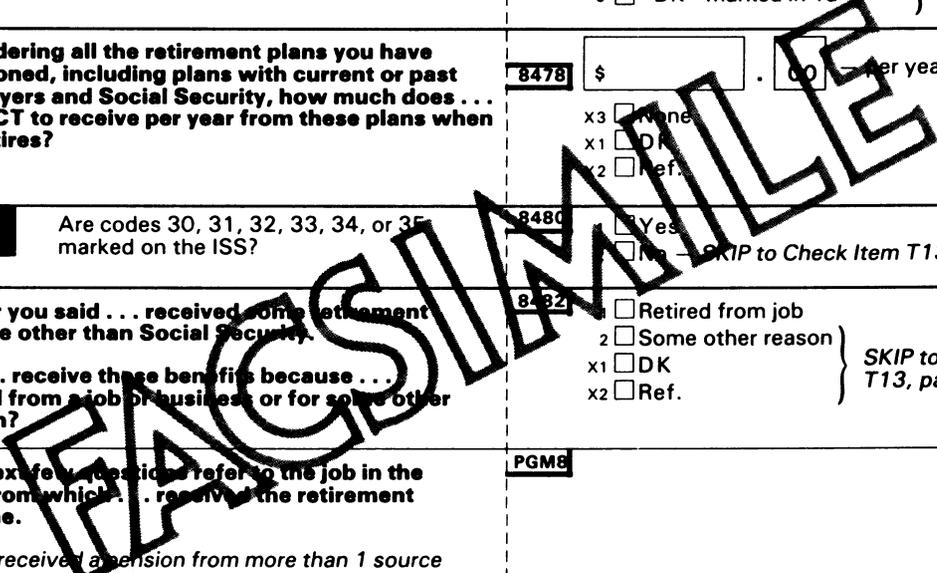
8488

e. What were . . . 's main activities or duties?

For example: Types, keeps account books, files, sells cars, operates printing press, finishes concrete.

PGM8

8490



ASK or VERIFY —

PGM8

f. Was ... an employee of —

8492

- 1 A private company or union?
- 2 Federal Government (exclude Armed Forces)?
- 3 State Government?
- 4 Local Government?
- 5 Armed Forces?
- 6 Unpaid in family business or farm? — SKIP to Check Item T13, page 59

9a. About how many persons were employed by that employer at the location ... worked?

PGM7

8494

- 1 Under 25
 - 2 25 to 99
 - 3 100 to 499
 - 4 500 to 999
 - 5 1,000 or more
 - x1 DK
- } SKIP to 9d

b. Did that employer operate in more than one location?

8496

- 1 Yes
 - 2 No
 - x1 DK
- } SKIP to 9d

c. About how many persons were employed by that employer at ALL LOCATIONS?

8498

- 1 Under 25
- 2 25 to 99
- 3 100 to 499
- 4 500 to 999
- 5 1,000 or more
- x1 DK

d. How many HOURS a week did ... usually work at that job?

8500

— Hours per week

x1 DK

e. How many WEEKS a year did ... usually work at that job?

8502

— Weeks per year

x1 DK

f. How many YEARS did ... work at that job?

8504

— Years

x1 DK

9g. In what year did ... leave that job?

8508

x1 DK

h. When ... left that job, how much was ... earning (before deductions for taxes or anything else)?

8508

\$. 00

(If self-employed, show NET business income.)

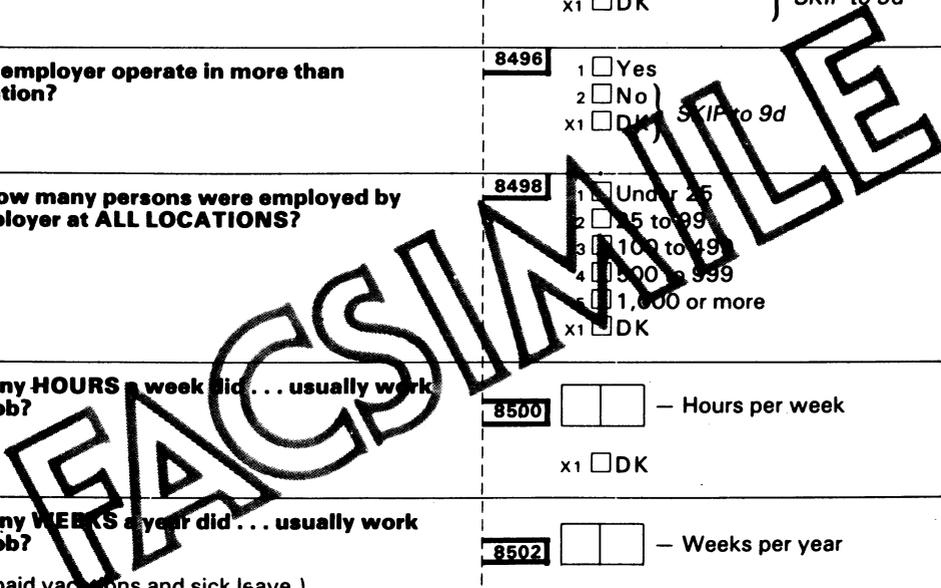
8510

- PER —
- 1 Week
- 2 Month
- 3 Year

OR

8512

- x1 DK
- x2 Ref. — SKIP to Check Item T13



<p>i. In what year did . . . begin receiving this pension?</p>	<p>8514 1 9</p> <p>x1 <input type="checkbox"/> DK</p>
<p>j. Was the amount of . . . 's (basic) retirement benefits based on . . . 's years of service and pay, or on the amount of . . . 's contributions to the plan?</p>	<p>8516 1 <input type="checkbox"/> Based on years of service and pay 2 <input type="checkbox"/> Based on the amount contributed to plan x1 <input type="checkbox"/> DK</p>
<p>k. Did . . . take reduced benefits in order to elect a survivor option?</p>	<p>8518 1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No x1 <input type="checkbox"/> DK</p>
<p>l. Has . . . 's retirement pension ever been increased for cost-of-living changes?</p>	<p>8520 1 <input type="checkbox"/> Yes — SKIP to 9n 2 <input type="checkbox"/> No x1 <input type="checkbox"/> DK</p>
<p>m. Does . . . 's pension plan include a cost-of-living adjustment provision?</p>	<p>8522 1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No x1 <input type="checkbox"/> DK</p>
<p>ASK OR VERIFY — n. Is . . . now covered by a health plan provided through . . . 's former employer?</p>	<p>8524 1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No x1 <input type="checkbox"/> DK</p>

NOTES